



04-03-00

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PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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1542 U.S. PTO  
03/30/97

## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 03048.P011Total Pages 2First Named Inventor or Application Identifier Kelly Gene JohnsonExpress Mail Label No. EL143568896US

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification (Total Pages 46)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  Drawings(s) (35 USC 113) (Total Sheets 11)
4.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)

7.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a.  Computer Readable Copy  
b.  Paper Copy (identical to computer copy)  
c.  Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

8.  Assignment Papers (cover sheet & documents(s))

9.  a. 37 CFR 3.73(b) Statement (where there is an assignee)  
 b. Power of Attorney

10.  English Translation Document (if applicable)

11.  a. Information Disclosure Statement (IDS)/PTO-1449  
 b. Copies of IDS Citations

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

14.  a. Small Entity Statement(s)  
b. Statement filed in prior application, Status still proper and desired

15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)

16.  Other: Certificate of Express Mail with copy of postcard showing contents of  
Express Mail package.

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_

18. **Correspondence Address**

Customer Number or Bar Code Label (Insert Customer No. or Attach Bar Code Label here)  
or

Correspondence Address Below

NAME Ronald C. Card Ronald C. Card March 30, 2000  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8598 FAX (408) 720-9397

1c542 U.S. PRO  
09/539197  
03/30/00

"Express Mail" mailing label number: EL143568896US  
Date of Deposit: 3/30/00

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

J. Anil K. Biscos  
(Typed or printed name of person mailing paper or fee)

Alconite Space  
(Signature of person mailing paper or fee)

3/30/00  
(Date signed)

Serial/Patent No.:	*****			Filing/Issue Date / <u>Herewith</u>
Client:	SILICON SPICE			
Title:	MULTI-CHANNEL, MULTI-SERVICE DEBUG ON A PIPELINED CPU ARCHITECTURE			
BSTZ File No.:	03048-P011	Att'y/Soc'y Initials:	<u>MYS/ccc/k</u>	
Date Mailed:	<u>3/30/00</u>	Docket Due Date:		
The following has been received in the U.S. Patent & Trademark Office on the date stamped herein:				
<input checked="" type="checkbox"/> Amendment/Response ( <u>5</u> pg.)				
<input type="checkbox"/> Appeal Brief ( <u>5</u> pg.) (in triplicate)				
<input checked="" type="checkbox"/> Application - Utility ( <u>46</u> pg., with cover sheet attached)				
<input type="checkbox"/> Application - Rule 1.53(b) Continuation ( <u>5</u> pg.)				
<input type="checkbox"/> Application - Rule 1.53(b) Divisional ( <u>5</u> pg.)				
<input type="checkbox"/> Application - Rule 1.53(b) CIP ( <u>5</u> pg.)				
<input type="checkbox"/> Application - Rule 1.53(d) CPA Transmittal ( <u>5</u> pg.)				
<input type="checkbox"/> Application - Design ( <u>5</u> pg.)				
<input type="checkbox"/> Application - PCT ( <u>5</u> pg.)				
<input type="checkbox"/> Application - Provisional ( <u>5</u> pg.)				
<input checked="" type="checkbox"/> Assignment and Cover Sheet				
<input type="checkbox"/> Certificate of Mailing				
<input type="checkbox"/> Declaration & POA ( <u>5</u> pg.)				
<input type="checkbox"/> Declination Dcts & Opt & Copy of Inventor Signature ( <u>5</u> pg.)				
<input checked="" type="checkbox"/> Drawing: 11 of sheets includes 14 figures				
<input type="checkbox"/> Other:				
<input checked="" type="checkbox"/> Express Mail No. <u>EL143568896US</u> Month/Year Received or Postmarked ( <u>3/30/00</u> )				
<input type="checkbox"/> Motion(s) for Extension of Time				
<input type="checkbox"/> Miscellaneous Document Search & VTO 140 ( <u>5</u> pg.)				
<input type="checkbox"/> Issue Fee Transmittal ( <u>5</u> pg.)				
<input type="checkbox"/> Notice of Appeal				
<input type="checkbox"/> Petition for Extension of Time				
<input type="checkbox"/> Petition for				
<input checked="" type="checkbox"/> Postcard ( <u>5</u> pg.)				
<input type="checkbox"/> Power of Attorney ( <u>5</u> pg.)				
<input type="checkbox"/> Preliminary Amendment ( <u>5</u> pg.)				
<input type="checkbox"/> Reply Brief ( <u>5</u> pg.)				
<input type="checkbox"/> Response to Notice of Missing Part(s)				
<input type="checkbox"/> Small Entity Declaration (or Indep. Inventor/Small Business)				
<input checked="" type="checkbox"/> Transmittal Letter, in triplicate ( <u>2x2 pgs.</u> )				
<input checked="" type="checkbox"/> Fee Transmittal, in triplicate ( <u>2x2 pgs.</u> )				

## FEE TRANSMITTAL FOR FY 2000

TOTAL AMOUNT OF PAYMENT (\$) 1,864.00

## Complete if Known:

Application No. Not yet assignedFiling Date HerewithFirst Named Inventor Kelly Gene JohnsonGroup Art Unit Not yet assignedExaminer Name Not yet assignedAttorney Docket No. 003048.P011

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name  

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

Check  
 Money Order  
 Other

## FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>		
Fee	Fee	Fee	Fee		
Code	Fee	Code	Fee		
101	690	201	345	Utility application filing fee	<u>690.00</u>
106	310	206	155	Design application filing fee	<u> </u>
107	480	207	240	Plant filing fee	<u> </u>
108	690	208	345	Reissue filing fee	<u> </u>
114	150	214	75	Provisional application filing fee	<u> </u>

SUBTOTAL (1) \$ 690.002. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims <u>44</u>	<u>- 20** = 24</u>	<u>X 18.00</u>	<u>= 432.00</u>
Independent Claims <u>12</u>	<u>- 3** = 9</u>	<u>X 78.00</u>	<u>= 702.00</u>
Multiple Dependent			<u>=</u>

\*\*Or number previously paid, if greater; For Reissues, see below.

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>		
Fee	Fee	Fee		
Code	Fee	Code	Fee	
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 1,134.00

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
105	130	205	Surcharge - late filing fee or oath
127	50	227	Surcharge - late provisional filing fee or cover sheet
139	130	139	Non-English specification
147	2,520	147	For filing a request for reexamination
112	920*	112	Requesting publication of SIR prior to Examiner action
113	1,840*	113	Requesting publication of SIR after Examiner action
115	110	215	Extension for response within first month
116	380	216	Extension for response within second month
117	870	217	Extension for response within third month
118	1,360	218	Extension for response within fourth month
128	1,850	228	Extension for response within fifth month
119	300	219	Notice of Appeal
120	300	220	Filing a brief in support of an appeal
121	260	221	Request for oral hearing
138	1,510	138	Petition to institute a public use proceeding
140	110	240	Petition to revive unavoidably abandoned application
141	1,210	241	Petition to revive unintentionally abandoned application
142	1,210	242	Utility issue fee (or reissue)
143	430	243	Design issue fee
144	580	244	Plant issue fee
122	130	122	Petitions to the Commissioner
123	50	123	Petitions related to provisional applications
126	240	126	Submission of Information Disclosure Stmt
581	40	581	Recording each patent assignment per property (times number of properties)
146	690	246	For filing a submission after final rejection (see 37 CFR 1.129(a))
149	690	249	For each additional invention to be examined (see 37 CFR 1.129(a))

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: Ronald C. CardSignature Ronald C. Card Date March 30, 2000Reg. Number 44,587 Deposit Account User ID \_\_\_\_\_  
(complete if applicable)